SFP 2008 - 3852

CERTIFICATE - ATTESTATION

The undersigned authority has the honour to certify, in conformity with article 6 of the Convention L'autorite soussignee a l'honneur d'attester conformenent a l'article 6 de ladite Convention.

Document 42

1) that the document has been served the (date): que le demande a ete executee le (date):

Wednesday 14 May 2008

- -at (place, street, number):
- -a (localite, rue, numero):

135 Bishopsgate, London, EC2M 3UR, England (registered office of National Westminster Bank plc)

- in one of the following methods authorised by article 5:
- dans une des formes suivantes prevues a l'article 5:
- a) in accordance with the provisions of sub-paragraph (a) of the first paragraph of article 5 of the Convention selon les formes legales (article 5, alinea premier, lettre a)
- b) in accordance with the following particular method: selon la forme particuliere suivante:

Personally served upon National Westminster Bank plc at the direction of the Senior Master of the Queen's Bench Division of the Supreme Court of England and Wales by the agent for the purpose of Graham Henry Bridgman, a Solicitor of the Supreme Court of England and Wales, by a method prescribed by the internal law of England and Wales for the service of documents in domestic actions upon persons who are within its territory

c) by delivery to the addressee, who accepted it voluntarily par remise simple

the documents referred to in the request have been delivered to: les documents mentionnes dans la demande ont ete remis a:

- (identity and description of person):
- (identite et qualite de la personne):

Benjamin Lamping

- relationship to the addressee (family, business or other):
- liens de parente de subordinaion ou autres avec le desinataire de l'acts:

Solicitor authorised to accept service on behalf of National Westminster Bank plc

2) that the document has not been served, by reason of the following facts: que la demande n'a pas ete executee, en raison des faits suivants:

in conformity with the second paragraph of article 12 of the Convention, the applicant is requested to pay the expenses detailed in the attached statement

conformenent a l'article 12, alinea 2 de ladite Convention, le requerant est prie de payer ou de rembourser les frais dont le detail figure au memoire ci joint

Annexes

Documents returned

Pieces renvoyees

in appropriate cases, documents establishing the service le cas echeant, les documents justicatifs de l'execution

Done at London

Fait a

the (date):

Signature and/or stamp: Signature et/ou cachet:

26.1.316

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

CITY OF OAKLAND, CALIFORNIA, a Municipal Corporation, on behalf of itself and all others similarly situated,

Case No. CV 08 2116

Plaintiff,

V.

AIG FINANCIAL PRODUCTS CORP.; AIG SUNAMERICA LIFE ASSURANCE CO., et al.,

Defendants.

Witness Statement of Service in accordance with sub paragraph (b) of the first paragraph of article 5, Hague Convention on the Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters

- I, DAVID LLEWELYN MORGAN, Process Server, of 4 Church Lane, Great Gonerby, Grantham, NG31 8JU, England, say as follows:
- 1. In the matters detailed below I was acting as agent for Graham Henry Bridgman a Solicitor of the Supreme Court of England and Wales who was in turn acting at the direction of the Central Authority of England and Wales.
- 2. On Wednesday the 14th day of May 2008 before 1700 hours I served National Westminster Bank plc, one of the Defendants herein, with the Summons in a Civil Action, the Class Action Complaint, the Civil Cover Sheet with Attachment, Order Setting Initial Case Management Conference and ADR deadlines and the ECF Registration Information handout, together with the Hague Convention Summary of the Document to be Served and its Attachment 'A' and the Hague Convention Notice, by handing them to and leaving them with Benjamin Lamping, a Solicitor, who confirmed that he was authorised to accept service on behalf of National Westminster Bank plc, at its registered office at 135 Bishopsgate, London, EC2M 3UR, England, which is a method of service in accordance with English Court rules.
- 3. Exhibited hereto marked "A" is a bundle containing a copy of each of the documents so served by me.
- 4. Service has been effected, as directed by the requesting authority, in accordance with a method prescribed by the internal law of England and Wales and thus in accordance with sub paragraph (b) of the first paragraph of article 5, Hague Convention on the Service Abroad of Judicial and Extrajudicial Documents in Civil or Commercial Matters.

I believe that the facts stated in this witness statement are true

thereby certify that this is a true

copy of the original

Dated:

Signed:

DAVID LLEWELYN MORGAN

Date 16 May 2008

V.

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

CITY OF OAKLAND, CALIFORNIA, a Municipal Corporation, on behalf of itself and all others similarly situated,

Case No. CV 08 2116

Plaintiff,

AIG FINANCIAL PRODUCTS CORP.; AIG SUNAMERICA LIFE ASSURANCE CO., et al.,

Defendants.

Exhibit A to the Witness Statement of David Llewelyn Morgan

This is the exhibit marked "A" to my witness statement dated today.

Dated:

Signed:

DAVID LLEWELYN MORGAN

SU MARY OF THE DOCUMENT TO BE L VED

ELEMENTS ESSENTIELS DE L'ACTE

Convention on the service abroad of judicial and extrajudicial documents in civil or commercial matters, signed at The Hague, November 15, 1965.

Convention relative à la signification et à la notification à l'áranger des actes judiciaires et extrajudiciares en matière civile ou commerciale, signée à La Haye, le 15 Novembre 1965.

(article 5, fourth paragraph)

(article 5, alinát 4)

Name and address of the requesting authority: Nom et adresse de l'autorité requérante :	Karina Shreefer, Esq. LEGAL LANGUAGE SERVICES	
	8014 State Line Road, Suite 110, Leawood, Kansas 66208, U.S.A.	
	Tel. 1.913.341.3167	
Particulars of the parties*:	10. 1.713.341.3107	
Identité des parties : CITY OF OAKLAND,	, CALIFORNIA, a Municipal Corporation, on behalf of itself	
and all others similarly		
AIG FINANCIAL PRO	ODUCTS CORP, et al., Defendants	
-	JUDICIAL DOCUMENT**	
	ACTE JUDICIAIRE	
Nature and purpose of the document:		
	the Defendant of the commencement of a civil claim against it	
and to summon it t	to answer or otherwise respond.	
Nature and purpose of the proceedings and, who Nature et objet de l'instance, le cas échéant, le mon	ere appropriate, the amount in dispute: tant du litige: See Attachment "A."	
Date and place for entering appearance**: Date et lieu de la comparution: Answer to the Complaint within twenty (20)	summoned to serve on Plaintiff's Attorney (Lieff, Cabraser, Heimann & Bernstein, LLP) an) days after service of the Summons, not counting the day of receipt. Defendant also must file	
its Answer with the United States District C California 94102, U.S.A. Court which has given judgment**: Juridiction qui a rendu la décision : N/A	ourt, Northern District of California located at: 450 Golden Gate Avenue, San Francisco,	
Date of judgment**: Date de la décision : N/A		
Time limits stated in the document**: Indication des daais figurant dans l'acte : Defer	ndant is summoned to serve on Plaintiff's Attorney (Lieff, Cabraser, Heimann & Bernstein, LLP)	
an Answer to the Complaint within twenty (2	20) days after service of the Summons, not counting the day of receipt. If Defendant fails to do	
so, judgment by default will be entered again Court.	nst it for the relief demanded in the Complaint. Defendant also must file its Answer with the	
	EXTRAJUDICIAL DOCUMENT**	
	ACTE EXTRAJUDICIAIRE	
Nature and purpose of the document: Nature et objet de l'acte : N/A	9	
Time limits stated in the document**: Indication des ddais figurant dans l'acte : N/A		

^{*} If appropriate, identity and address of the person interested in the transmission of the document.

S'il y a lieu, identité et adresse de la personne intéressée à la transmission de l'acte.

^{**} Delete if inappropriate.

Attachment "A" to "Summary of the Document to be Served"

Plaintiff City of Oakland, California, a Municipal Corporation, brings this class action complaint on behalf of itself and all others similarly situated that contracted for Municipal Derivatives in the United States and its territories directly from one or more of the named Municipal Derivatives Seller Defendants or Municipal Derivatives Broker Defendants in the period from January 1, 1992 through December 31, 2007 (the "Class Period"). Plaintiff alleges that during the Class Period, Defendants conspired to rig bids, to allocate customers and markets, and to fix, raise, maintain, or stabilize the returns received by Oakland and the members of the Class for Municipal Derivatives, including but not limited to Guaranteed Investment Contracts (GICs) sold in the United States, in violation of the Sherman Act, 15 U.S.C. § 1, the Cartwright Act, and the Unfair Competition Law. As a result of the alleged unlawful conduct of Defendants, Plaintiff claims that it and other members of the Class have been injured in their business and property, in that they have paid higher supracompetitive prices for these products than they otherwise would have absent the alleged illegal combination and price-fixing conspiracy, which restrained competition.

Plaintiff prays for the following relief: determination that this action be maintained as a class action, that Oakland be certified as a class representative and Oakland's counsel be appointed as counsel for the Class; judgment that Defendants' alleged actions are in violation of the Sherman Act and the California Business & Professions Code (the Cartwright Act); declaration that the unlawful contract, combination or conspiracy alleged is in violation of the Unfair Competition Law; treble damages; costs, including attorneys' fees; and such other relief as is just.

NOTICE

(recommended by the Fourteenth Session of Hague Conference of October, 1980)

identité et adresse du destinataire identity and address of the addressee

NATIONAL WESTMINSTER BANK PUBLIC LIMITED COMPANY (Company No. 00929027) 135 Bishopsgate London EC2M 3UR UNITED KINGDOM

TRÈS IMPORTANT

LE DOCUMENT CI-JOINT EST DE NATURE JURIDIQUE ET PEUT AFFECTER VOS DROITS ET OBLIGATIONS. LES "ELÉMENTS ESSENTIELS DE L'ACTE" VOUS DONNENT QUELQUES INFORMATIONS SUR SA NATURE ET SON OBJET. IL EST TOUTEFOIS INDISPENSABLE DE LIRE ATTENTIVEMENT LE TEXTE MÊME DU DOCUMENT. IL PEUT ÊTRE NÉCESSAIRE DE DEMANDER UN AVIS JURIDIQUE.

SI VOS RESSOURCES SONT INSUFFISANTES, RENSEIGNEZ-VOUS SUR LA POSSIBILITÉ D'OBTENIR L'ASSISTANCE JUDICIAIRE ET LA CONSULTATION JURIDIQUE SOIT DANS VOTRE PAYS SOIT DANS LE PAYS D'ORIGINE DU DOCUMENT.

LES DEMANDES DE RENSEIGNEMENTS SUR LES POSSIBILITÉS D'OBTENIR L'ASSISTANCE JUDICIAIRE OU LA CONSULTATION JURIDIQUE DANS LE PAYS D'ORIGINE DU DOCUMENT PEUVENT ÊTRE ADRESSÉES :

California Rural Legal Assistance, Inc. 631 Howard Street, Suite 300 San Francisco, California 94105 U.S.A. Tel. 1.415.777.2752

IMPORTANT

THE ENCLOSED DOCUMENT IS OF A LEGAL NATURE AND MAY AFFECT YOUR RIGHTS AND OBLIGATIONS. THE "SUMMARY OF THE DOCUMENT TO BE SERVED" WILL GIVE YOU SOME INFORMATION ABOUT ITS NATURE AND PURPOSE. YOU SHOULD, HOWEVER, READ THE DOCUMENT ITSELF CAREFULLY. IT MAY BE NECESSARY TO SEEK LEGAL ADVICE.

IF YOUR FINANCIAL RESOURCES ARE INSUFFICIENT YOU SHOULD SEEK INFORMATION ON THE POSSIBILITY OF OBTAINING LEGAL AID OR ADVICE EITHER IN THE COUNTRY WHERE YOU LIVE OR IN THE COUNTRY WHERE THE DOCUMENT WAS ISSUED.

ENQUIRIES ABOUT THE AVAILABILITY OF LEGAL AID OR ADVICE IN THE COUNTRY WHERE THE DOCUMENT WAS ISSUED MAY BE DIRECTED TO:

California Rural Legal Assistance, Inc. 631 Howard Street, Suite 300 San Francisco, California 94105 U.S.A. Tel. 1.415.777.2752

ORIGINAL

S AO 440 (Rev. 03/08) Civil Summons

UNITED STATES DISTRICT COURT

for the	COOKI
Northern District of California	
CITY OF OAKLAND, CALIFORNIA Plaintiff v. AIG FINANCIAL PRODUCTS CORP., et al. Defendant Defendant)	08 2116 ion No.
To: (see attached list) (Defendant's name)	ME.
A lawsuit has been filed against you. Within 20 days after service of this summons on you (not counting on the plaintiff an answer to the attached complaint or a motion under Rule 12 answer or motion must be served on the plaintiff's attorney, whose name an Eric B. Fastiff LIEFF, CABRASER, HEIMANN & BERNSTEIN, LLP 275 Battery Street, 30th Floor San Francisco, CA 94111	2 of the Federal Rules of Civil Procedure. The
If you fail to do so, judgment by default will be entered against you for the must file your answer or motion with the court.	relief demanded in the complaint. You also
Date:	Richard W. Wieking Ame of cierk of court Helen L. Aime cen Deputy clerk's signature

Proof of Service

eclare under penalty of	perjury that I served the summons a	and complaint in this case on
(1) personally delive	ering a copy of each to the individua	l at this place,; or
(2) leaving a copy of who resides there	f each at the individual's dwelling of e and is of suitable age and discretion	r usual place of abode withn; or
(3) delivering a copy		appointment or by law to receive it whose name is; or
(4) returning the sun	nmons unexecuted to the court clerk	on
fees are \$	for travel and \$	for services, for a total of \$ 0.00
,		
e:		
		Server's signature
		Printed name and title
		Server's address

Market Market Jan Comment

ATTACHMENT TO SUMMONS

Defendants To Be Served:

AIG FINANCIAL PRODUCTS CORP.

AIG SUNAMERICA LIFE ASSURANCE CO.

BANK OF AMERICA CORPORATION

BANK OF AMERICA, N.A.

BEAR STEARNS COMPANIES, INC.

CAIN BROTHERS & COMPANY, LLC

CDR FINANCIAL PRODUCTS, INC.

FELD WINTERS FINANCIAL, LLC

FINANCIAL GUARANTY INSURANCE CO.

FINANCIAL SECURITY ASSURANCE HOLDINGS, LTD.

FIRST SOUTHWEST COMPANY

GE FUNDING CAPITAL MARKET SERVICES, INC.

GENWORTH FINANCIAL, INC.

GEORGE K. BAUM & COMPANY

INVESTMENT MANAGEMENT ADVISORY GROUP, INC.

J.P. MORGAN CHASE & CO.

KINSELL NEWCOMB & DE DIOS, INC.

LEHMAN BROTHERS INC.

MERRILL LYNCH & CO. INC.

MORGAN KEEGAN & CO., INC.

MORGAN STANLEY

NATIONAL WESTMINSTER BANK plc

NATIXIS, S.A.

PACKERKISS SECURITIES, INC.

PIPER JAFFRAY & CO.

SECURITY CAPITAL ASSURANCE, INC.

SHOCKLEY FINANCIAL CORP.

SOCIÉTÉ GÉNÉRALE

SOUND CAPITAL MANAGEMENT, INC.

TRINITY FUNDING COMPANY, LLC

UBS AG

WACHOVIA BANK, N.A.

WACHOVIA CORPORATION

WINTERS & CO. ADVISORS, LLC

XL ASSET FUNDING COMPANY I LLC

XL CAPITAL, LTD.

XL LIFE INSURANCE & ANNUITY COMPANY